



## Fund Setup Sheet

for Distributor Head Offices - Investment Fund Operations

### **Spartan Fund Management Inc.**

Fundserv Management Company Code: **SPA**

*This Fund Setup Sheet provides important information regarding the fund(s) and their attributes required by dealer head offices to complete various internal system setups and commence transaction placement via the Fundserv Network.*

### **Fund Codes & Details**

**Product Inception Date:** March 2024**Restricted to Dealer Codes 9280 and 9479 only**

SPA Fund Code	Fund Name	Load Type*	Currency
1950	Alpine SPRING Private Venture & Growth Fund Class A - PURCHASE	FE	USD
1951	Alpine SPRING Private Venture & Growth Fund Class A	FE	USD
1952	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class F - PURCHASE	NL	USD
1953	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class F	NL	USD
1954	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class I - PURCHASE	NL	USD
1955	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class I	NL	USD
1956	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class XF - PURCHASE	NL	USD
1957	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class XF	NL	USD
1966	<sup>2</sup> Alpine SPRING Private Venture & Growth Fund Class ICS - PURCHASE	NL	USD
1967	<sup>2</sup> Alpine SPRING Private Venture & Growth Fund Class ICS	NL	USD
1958	Alpine SPRING Private Venture & Growth Fund Class A-CAD - PURCHASE	FE	CAD
1959	Alpine SPRING Private Venture & Growth Fund Class A-CAD	FE	CAD
1960	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class F-CAD - PURCHASE	NL	CAD
1961	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class F-CAD	NL	CAD
1962	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class I-CAD - PURCHASE	NL	CAD
1963	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class I-CAD	NL	CAD
1964	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class XF-CAD - PURCHASE	NL	CAD
1965	<sup>1</sup> Alpine SPRING Private Venture & Growth Fund Class XF-CAD	NL	CAD
1968	<sup>2</sup> Alpine SPRING Private Venture & Growth Fund Class ICS-CAD - PURCHASE	NL	CAD
1969	<sup>2</sup> Alpine SPRING Private Venture & Growth Fund Class ICS-CAD	NL	CAD

<sup>1</sup> The Class F, I and XF funds are intended for **Dealer Fee Based Account** Investors only.<sup>2</sup> The Class ICS funds are intended for **CIBC Wood Gundy Investment Consulting Service (ICS) program** Investors only.\* **FE** = Front End **NL** = No Load (also used for Fee Based class funds)

### General

Fundserv Member:	Yes	Bare Trustee Custodial Agreement:	IIROC Signed MFDA Signed
Fundserv myserv Dealer Account Inquiry:	Yes	Trailer Fee:	n/a
Product Type:	Hedge Fund	DSC & LSC Commission:	n/a
Tax Structure:	Trust	Management Fee:	0.20%
Classification:	Alternative Strategies	Investor Service Fee:	0.40% on Class A and F Units 0.30% on Class XF Units 0.05% on Class I Units 0.15% on Class ICS Units
Offering Document Type:	Offering Memorandum	Prices via Fundata:	No

*\* For complete fund details please download an FD (Fund Setup) File from Fundserv, or go to Fundserv Services Web → Utilities → Fund List or <https://www.fundserv.com/industry-resources/fund-profiles>.*



## Transaction Details

### Purchases

Only **Purchases** can be placed into **Purchase Fund Codes** (“PURCHASE”), at a constant NAV of **\$100**. Fund initiated switches will be processed each month once the final NAV has been calculated, **within 30 days of each month-end**, moving positions from the Purchase Fund Codes into the Continuing Fund Codes. Redemptions and Transfers will not be accepted for the Purchase Fund Codes.

<b>Pricing Frequency:</b>	<b>Monthly - last business day of each month</b>	<b>OEO-Eligible Classes:</b>	Yes
<b>Cut-off Time:</b>	4:00pm ET	<b>Minimum Initial Purchase:</b>	<b>\$25,000 USD (Class A and F)</b> <b>\$5,000,000 USD (Class I)</b> <b>USD Equivalent of \$5,000,000 CAD (Class XF)</b> <b>\$10,000 USD (Class ICS)</b> <b>\$25,000 CAD (Class A-CAD and F-CAD)</b> <b>\$5,000,000 CAD (Class I-CAD and XF-CAD)</b> <b>\$10,000 CAD (Class ICS-CAD)</b>
<b>*Custom Cut-off Date:</b>	n/a	<b>Minimum Subsequent Purchase:</b>	<b>\$5,000 USD (Class A, F, and ICS)</b> <b>USD Equivalent of \$5,000 CAD (Class XF)</b> <b>\$25,000 USD (Class I)</b> <b>\$5,000 CAD (Class A-CAD, F-CAD, XF-CAD and ICS-CAD)</b> <b>\$25,000 CAD (Class I-CAD)</b>
<b>*Custom Price Date:</b>	<b>15<sup>th</sup> day of each month (if a holiday, preceding business day)</b>	<b>Pre-Authorized Chequing (PAC) Plans:</b>	No
<b>Settlement Date:</b>	T+2	<b>PAC Minimums:</b>	n/a
<b>Maximum Front End Commission:</b>	3.0%	<b>Management Fee Rebates (MFR):</b>	No
<b>Provincial Eligibility:</b>	All Provinces & Territories	<b>Distribution Option:</b>	Re-Invest
<b>Offshore Investors Eligible:</b>	No	<b>Distribution Frequency:</b>	Annually
<b>US Investors Eligible:</b>	No	<b>Tax Slip Type Issued:</b>	T3
<b>Registered Plan &amp; TFSA Eligibility:</b>	Yes	<b>Subscription Agreement Required:</b>	Yes (see below)

### Purchase Conditions:

- Following the third Subscription Date, only existing investors or Advisors with clients invested in Class I Units or Class I-CAD Units may purchase additional Class I Units and/or Class I-CAD Units (even if such purchases are for clients that do not hold Class I Units or Class I-CAD Units), subject to a minimum investment amount of US\$25,000 or C\$25,000, respectively, for such subsequent investments. Investors or Advisors with clients invested in Class XF Units may purchase additional Class XF Units, subject to a minimum investment amount of the US\$ Equivalent of C\$5,000. Investors or Advisors with clients invested in Class XF-CAD Units may purchase additional Class XF-CAD Units, subject to a minimum investment amount of C\$5,000. The Manager may in its discretion accept subscriptions for lesser amounts subject to compliance with applicable securities legislation.
- Dealers/Advisors are required** for all **initial purchases** to deliver a **copy** of the completed Subscription Agreement **on the date the order is placed** to **Spartan Fund Management Inc.** via:
  - Email to [docs@spartanfunds.ca](mailto:docs@spartanfunds.ca)
  - Fax to 416-601-5611.

### Redemptions

Only **Redemptions and Transfers** can be placed into **Continuing Fund Codes**.

<b>Pricing Frequency:</b>	<b>Quarterly – last business day (Redemption dates occur in March, June, September and December)</b>	<b>Settlement Date:</b>	T+22
<b>Cut-off Time:</b>	4:00pm ET	<b>Systematic Withdrawal Plans (SWP):</b>	n/a
<b>*Custom Cut-off Date:</b>	<b>15<sup>th</sup> of the month prior to the redemption date (if a holiday, preceding business day)</b>	<b>Early Redemption Fees (ERF):</b>	5% within 12 months
<b>*Custom Price Date:</b>	n/a	<b>DSC Rate &amp; Duration:</b>	n/a



## Transactions Allowed

Buy:	Yes	External Transfer:	No
Commission Rebate:	n/a	In Cash Transfer (ICT):	n/a
Switch:	No	Error Correction (AOT/REV):	n/a
Sell:	Yes	LSIF Rollover:	n/a
Fee:	No	Segregated Fund Reset:	n/a
Internal Transfer:	Yes	Segregated Fund Maturity:	n/a

## Additional Information

### Investment Objective

- To provide Unitholders with exposure to the returns of investment strategies that invest in a venture capital and growth equity investment portfolio focused on the “innovation economy” that, over time, are expected to achieve long-term capital appreciation, by investing in StepStone (Luxembourg) SCA SICAV – StepStone Private Venture and Growth Fund and/or any parallel funds or similar funds offered by StepStone Group Inc. that provide exposure to similar investment strategies as the Luxembourg Fund.

### Distributions

- Subject to the Manager’s discretion to make distributions of cash, any distributions (less any amounts required by law to be deducted therefrom) are expected to automatically be reinvested for the account of each Unitholder in additional Units at the applicable Net Asset Value per Unit. No sales charge or commission shall be payable by a Unitholder in connection with any such reinvestment.

### Management Fee

- The Fund shall pay the Manager a management fee based upon the Class Net Asset Value of each Class of Units. The Manager will receive an annual fee equal to 0.20% of the aggregate Class Net Asset Value of the Class A Units, Class F Units, Class I Units, Class XF Units, Class ICS Units, Class A-CAD Units, Class F-CAD Units, Class I-CAD Units, Class XF-CAD Units, and Class ICS-CAD. The Management Fee is calculated and paid monthly in arrears and as at any other day as the Manager may determine.

### Investor Service Fees

- The Fund has appointed the Servicing Agent in connection with the distribution of Units of the Fund in the Offering Jurisdictions. In consideration for providing its services, the Fund will pay to the Servicing Agent an annual fee equal to 0.40% of the aggregate Class Net Asset Value of each of the Class A Units, Class A-CAD Units, Class F Units, and Class F-CAD Units of the Fund, 0.30% of the aggregate Class Net Asset Value of each of the Class XF Units and Class XF-CAD Units of the Fund, 0.05% of the aggregate Class Net Asset Value of each of the Class I Units and Class I-CAD Units of the Fund, and 0.15% of the aggregate Class Net Asset Value of the Class ICS Units and Class ICS-CAD Units of the Fund.

### Series Re-Designation

- Series Accounting:** At the end of each year, and following the payment of all fees and expenses of the Fund, the Manager may determine that some or all Series of the same Class of Units will be redesignated as Series 1 Units (or other Series, in the discretion of the Manager) in order to reduce the number of outstanding Series of each Class. This will be accomplished by amending the Net Asset Value per Unit of all such Series so that they are the same, and consolidating or subdividing the number of Units of each such Series so the aggregate Net Asset Value of Units held by a Unitholder does not change. Unitholder’s rights will not be affected in any way as a result of this process.

## Contact Information

### Fund Company

Company Name:	<b>Spartan Fund Management Inc.</b>	Sales & Marketing Contact:	<b>Brent Channell</b>
Head Office Address:	150 King Street West, Suite 200, Toronto, ON, M5H 1J9	Phone:	<b>416-601-3172</b>
Website:	<a href="http://www.spartanfunds.ca">www.spartanfunds.ca</a>	Email:	<a href="mailto:bchannell@spartanfunds.ca">bchannell@spartanfunds.ca</a>
Email:	<a href="mailto:admin@spartanfunds.ca">admin@spartanfunds.ca</a>		

### Back Office Administrator

Company Name:	<b>SGGG Fund Services Inc.</b>	Phone (Account Inquiry):	<b>416-967-0038 x1 or 1-888-967-0038</b>
Head Office Address:	121 King Street West, Suite 300, Toronto, ON, M5H 3T9	General Processing Fax:	<b>416-967-1969</b>
Website:	<a href="http://www.sgggFSI.com">www.sgggFSI.com</a>	Dealer Services:	<a href="mailto:AdminServices@sgggFSI.com">AdminServices@sgggFSI.com</a>