

## Fund Setup Sheet

for Distributor Head Offices - Investment Fund Operations

### Spartan Fund Management Inc.

Fundserv Management Company Code: **SPA**

*This Fund Setup Sheet provides important information regarding the fund(s) and their attributes required by dealer head offices to complete various internal system setups and commence transaction placement via the Fundserv Network.*

#### Fund Codes & Details

Product Inception Date: January 2025

SPA Fund Code	Fund Name	Load Type*	Currency
<b>2000P</b>	<b>Bromma Resource Canada Access Fund Class A - PURCHASE</b>	<b>FE</b>	<b>CAD</b>
<b>2000</b>	<b>Bromma Resource Canada Access Fund Class A</b>	<b>FE</b>	<b>CAD</b>
<b>2002P</b>	<b>'Bromma Resource Canada Access Fund Class F - PURCHASE</b>	<b>NL</b>	<b>CAD</b>
<b>2002</b>	<b>'Bromma Resource Canada Access Fund Class F</b>	<b>NL</b>	<b>CAD</b>

<sup>1</sup> The **Class F** funds are intended for **Dealer Fee Based Account** Investors only.

\* **FE** = Front End    **NL** = No Load (also used for Fee Based class funds)

#### General

<b>Fundserv Member:</b>	Yes	<b>Bare Trustee Custodial Agreement:</b>	<b>IIROC Signed MFDA Signed</b>
<b>Fundserv myserv Dealer Account Inquiry:</b>	Yes	<b>Trailer Fee:</b>	1.0 % on Class A Paid Monthly
<b>Product Type:</b>	Hedge Fund	<b>DSC &amp; LSC Commission:</b>	<i>n/a</i>
<b>Tax Structure:</b>	Trust	<b>Management Fee:</b>	2.25 % on Class A 1.25 % on Class F
<b>Classification:</b>	Alternative Strategies	<b>Performance Fee:</b>	20 % at Master Fund level
<b>Offering Document Type:</b>	Offering Memorandum	<b>Prices via Fundata:</b>	<b>No</b>

\* For complete fund details please **download an FD (Fund Setup) File** from Fundserv, or go to **Fundserv Services Web → Utilities → Fund List** or <http://www.fundserv.com/industry-resources/fund-profiles>

#### Transaction Details

##### Purchases

Only **Purchases** can be placed into **Purchase Fund Codes** (“PURCHASE”), at a constant NAV of **\$100.00**. Fund initiated switches will be processed each month once the final NAV has been calculated, **within 15 days of each month-end**, moving positions from the Purchase Fund Codes into the Continuing Fund Codes. Redemptions and Transfers will not be accepted for the Purchase Fund Codes.

<b>Pricing Frequency:</b>	Monthly - last business day	<b>OEO-Eligible Classes:</b>	Class F
<b>Cut-off Time:</b>	4:00pm ET	<b>Minimum Initial Purchase:</b>	<b>\$10,000</b> Accredited Investors only
<b>*Custom Cut-off Date:</b>	<i>n/a</i>	<b>Minimum Subsequent Purchase:</b>	<b>\$5,000</b> Accredited Investors only
<b>*Custom Price Date:</b>	<i>n/a</i>	<b>Pre-Authorized Chequing (PAC) Plans:</b>	No
<b>Settlement Date:</b>	T+1	<b>PAC Minimums:</b>	<i>n/a</i>
<b>Maximum Front End Commission:</b>	3.0 %	<b>Management Fee Rebates (MFR):</b>	No
<b>Provincial Eligibility:</b>	All Provinces & Territories	<b>Distribution Option:</b>	Re-Invest
<b>Offshore Investors Eligible:</b>	No	<b>Distribution Frequency:</b>	Annually
<b>US Investors Eligible:</b>	No	<b>Tax Slip Type Issued:</b>	T3
<b>Registered Plan &amp; TFSA Eligibility:</b>	Yes	<b>Subscription Agreement Required:</b>	Yes ( <i>see below</i> )

**Purchase Conditions:**

- Dealers/Advisors **are required** for all **initial purchases** to deliver a **copy** of the completed Subscription Agreement **on the date the order is placed** to **Spartan Fund Management Inc.** via:
  - Email to [docs@spartanfunds.ca](mailto:docs@spartanfunds.ca)
  - Fax to 416-601-5611.
- **Original** documents are **not required** by the Manager, and should be **retained by the dealer**.

**Redemptions**

Only **Redemptions and Transfers** can be placed into **Continuing Fund Codes**. Fund initiated switches will be processed each month once the final NAV has been calculated, **within 15 days of each month-end**, moving positions from the Purchase Fund Codes into the Continuing Fund Codes. Purchases will not be accepted for the Continuing Fund Codes.

<b>Pricing Frequency:</b>	Monthly - last business day	<b>Settlement Date:</b>	T+15
<b>Cut-off Time:</b>	4:00pm ET	<b>Systematic Withdrawal Plans (SWP):</b>	No
<b>*Custom Cut-off Date:</b>	T-40 Calendar Days	<b>Early Redemption Fees (ERF):</b>	3 % within 12 months
<b>*Custom Price Date:</b>	n/a	<b>DSC Rate &amp; Duration:</b>	n/a

**Transactions Allowed**

<b>Buy:</b>	Yes	<b>External Transfer:</b>	Yes
<b>Commission Rebate:</b>	n/a	<b>In Cash Transfer (ICT):</b>	n/a
<b>Switch:</b>	No	<b>Error Correction (AOT/REV):</b>	n/a
<b>Sell:</b>	Yes	<b>LSIF Rollover:</b>	n/a
<b>Fee:</b>	No	<b>Segregated Fund Reset:</b>	n/a
<b>Internal Transfer:</b>	Yes	<b>Segregated Fund Maturity:</b>	n/a

**Additional Information**

**Investment Objective**

- The investment objective of the Fund is to provide Unitholders with long-term capital appreciation through exposure to the returns of the Bromma Resource Fund Inc. (the “International Fund”), which in turn provides exposure to the returns of the Bromma Resource Master Fund Inc. (the “Master Fund”), all as more particularly described in the Offering Memorandum.

**Distributions**

- The Fund intends to distribute sufficient net income and net realized capital gains, if any, to Unitholders in each taxation year to ensure that the Fund is not liable for income tax under Part I of the Tax Act, after taking into account any loss carry forwards and capital gains refunds. All distributions will be made to each registered Unitholder determined as of the close of business on the record date of the distribution. Distributions, if any, are paid as of the last Business Day of the calendar year, and at such other times as may be determined by the Manager.

**Management Fee**

- The Fund shall pay the Manager a management fee (the “Management Fee”) based upon the Class Net Asset Value of each Class of Units. The Manager will receive a monthly fee equal to: (i) 1/12 of 2.25% of the aggregate Class Net Asset Value of the Class A Units; and (ii) 1/12 of 1.25% of the aggregate Class Net Asset Value of the Class F Units.

**Performance Fee**

- Special Allocation is calculated at the Master Fund level.
- Units will be issued **in Series** for the purpose of calculating the Performance Fee applicable to each Investor’s Units.

**Contact Information**

**Fund Company**

<b>Company Name:</b>	<b>Spartan Fund Management Inc.</b>	<b>Sales &amp; Marketing Contact:</b>	<b>Brent Channell</b>
<b>Head Office Address:</b>	150 King Street West, Suite 200, Toronto, ON M5H 1J9	<b>Phone:</b>	<b>416-601-3172</b>
<b>Phone:</b>	<b>416-601-5600</b>	<b>Email:</b>	<a href="mailto:bchannell@spartanfunds.ca">bchannell@spartanfunds.ca</a>
<b>Website:</b>	<a href="http://www.spartanfunds.ca">www.spartanfunds.ca</a>		
<b>Email:</b>	<a href="mailto:admin@spartanfunds.ca">admin@spartanfunds.ca</a>		

**Back Office Administrator**

<b>Company Name:</b>	<b>SGGG Fund Services Inc.</b>	<b>Phone (Account Inquiry):</b>	<b>416-967-0038 x1 or 1-888-967-0038</b>
<b>Head Office Address:</b>	121 King Street West, Suite 300, Toronto, ON, M5H 3T9	<b>General Processing Fax:</b>	<b>416-967-1969</b>
<b>Website:</b>	<a href="http://www.sgggFSI.com">www.sgggFSI.com</a>	<b>Dealer Services:</b>	<a href="mailto:AdminServices@sgggFSI.com">AdminServices@sgggFSI.com</a>