



## Fund Setup Sheet

for Distributor Head Offices - Investment Fund Operations

### **Spartan Fund Management Inc.**

Fundserv Management Company Code: **SPA**

*This Fund Setup Sheet provides important information regarding the fund(s) and their attributes required by dealer head offices to complete various internal system setups and commence transaction placement via the Fundserv Network*

#### **Fund Codes & Details**

**Product Inception Date:** April, 2023

SPA Fund Code	Fund Name	Load Type*	Currency
<b>605P</b>	<b>PG Global Private Equity Canada Access Fund Class W - PURCHASE</b>	<b>NL</b>	<b>CAD</b>
<b>605</b>	<b>PG Global Private Equity Canada Access Fund Class W</b>	<b>NL</b>	<b>CAD</b>
<b>606P</b>	<b>PG Global Private Equity Canada Access Fund Class USW - PURCHASE</b>	<b>NL</b>	<b>USD</b>
<b>606</b>	<b>PG Global Private Equity Canada Access Fund Class USW</b>	<b>NL</b>	<b>USD</b>

\*NL = No Load (also used for Fee Based class funds)

#### General

<b>Fundserv Member:</b>	Yes	<b>Bare Trustee Custodial Agreement:</b>	<b>IIROC Signed</b>
<b>Fundserv myserv Dealer Account Inquiry:</b>	Yes	<b>Trailer Fee:</b>	n/a
<b>Product Type:</b>	Hedge Fund	<b>DSC &amp; LSC Commission:</b>	n/a
<b>Tax Structure:</b>	Trust	<b>Management Fee:</b>	0.425%
<b>Classification:</b>	Alternative Strategies	<b>Performance Fee:</b>	See Performance Fee section
<b>Offering Document Type:</b>	Offering Memorandum	<b>Prices via Fundata:</b>	Yes

\* For complete fund details please download an FD (Fund Setup) File from Fundserv, or go to [Fundserv Services Web → Utilities → Fund List](http://www.fundserv.com/industry-resources/fund-profiles) or <http://www.fundserv.com/industry-resources/fund-profiles>.

#### **Transaction Details**

#### Purchases

Only **Purchases** can be placed into **Purchase Fund Codes** (“PURCHASE”), at a constant NAV of **\$100**. Fund initiated switches will be processed each month once the final NAV has been calculated, **within 5 days of each month-end**, moving positions from the Purchase Fund Codes into the Continuing Fund Codes. Redemptions and Transfers will not be accepted for the Purchase Fund Codes.

<b>Pricing Frequency:</b>	Monthly - Last Business Day	<b>Client Name RSP Offered:</b>	No
<b>Cut-off Time:</b>	4:00pm ET	<b>Minimum Initial Purchase:</b>	<b>\$10,000</b>
<b>*Custom Cut-off Date:</b>	15 <sup>th</sup> of the month or preceding business day	<b>Minimum Subsequent Purchase:</b>	\$5,000
<b>*Custom Price Date:</b>	n/a	<b>Pre-Authorized Chequing (PAC) Plans:</b>	No
<b>Settlement Date:</b>	T+5	<b>PAC Minimums:</b>	n/a
<b>Maximum Front End Commission:</b>	n/a	<b>Management Fee Rebates (MFR):</b>	No
<b>Provincial Eligibility:</b>	All Provinces & Territories	<b>Distribution Option:</b>	Re-Invest <b>only</b>
<b>Offshore Investors Eligible:</b>	No	<b>Distribution Frequency:</b>	Annually
<b>US Investors Eligible:</b>	No	<b>Tax Slip Type Issued:</b>	T3
<b>Registered Plan &amp; TFSA Eligibility:</b>	Yes	<b>Subscription Agreement Required:</b>	Yes (see below)

**Purchase Conditions:**

- Dealers/Advisors **are required** for all **initial purchases** to deliver a **copy** of the completed Subscription Agreement **on the date the order is placed** to **Spartan Fund Management Inc.** via:
  - Email to [docs@spartanfunds.ca](mailto:docs@spartanfunds.ca)
  - Fax to **416.601.5611**

**Redemptions**

Only **Redemptions and Transfers** can be placed into **Continuing Fund Codes**. Fund initiated switches will be processed each month once the final NAV has been calculated, **within 5 days of each month-end**, moving positions from the Purchase Fund Codes into the Continuing Fund Codes. Purchases will not be accepted for the Continuing Fund Codes.

<b>Pricing Frequency:</b>	Monthly - Last Business Day	<b>Settlement Date:</b>	T+22
<b>Cut-off Time:</b>	4:00pm ET	<b>Systematic Withdrawal Plans (SWP):</b>	No
<b>*Custom Cut-off Date:</b>	T-65 Calendar days	<b>Early Redemption Fees (ERF):</b>	2.5% within first 6 months
<b>*Custom Price Date:</b>	n/a	<b>DSC Rate &amp; Duration:</b>	n/a

**Transactions Allowed**

<b>Buy:</b>	Yes	<b>External Transfer:</b>	Yes
<b>Commission Rebate:</b>	n/a	<b>In Cash Transfer (ICT):</b>	n/a
<b>Switch:</b>	n/a	<b>Error Correction (AOT/REV):</b>	n/a
<b>Sell:</b>	Yes	<b>LSIF Rollover:</b>	n/a
<b>Fee:</b>	No	<b>Segregated Fund Reset:</b>	n/a
<b>Internal Transfer:</b>	Yes	<b>Segregated Fund Maturity:</b>	n/a

**Additional Information****Investment Objective**

- The investment objective of the Fund is to provide Unitholders with superior returns and to achieve capital growth over the medium and long-term by investing in private equity through exposure to the returns of Partners Group Global Value SICAV, a company organised as a société anonyme under the laws of the Grand Duchy of Luxembourg and qualifies as *a société d'investissement à capital variable* (SICAV)

**Distributions**

- Subject to the Manager's discretion to make distributions of cash, any distributions (less any amounts required by law to be deducted therefrom) with respect to the Units are expected to automatically be reinvested for the account of each Unitholder in additional Units at the applicable Net Asset Value per Unit. No sales charge or commission shall be payable by a Unitholder in connection with any such reinvestment.

**Management Fee**

- The Fund shall pay the Manager a management fee based upon the Class Net Asset Value of each Class of Units. The Manager will receive an annual fee equal to 0.425% of the aggregate Class Net Asset Value of the Class W Units and Class USW Units of the Fund. The Management Fee is calculated and paid monthly in arrears and as at any other day as the Manager may determine.

**Performance Fee**

- The Master Fund Manager is entitled to a performance fee calculated and paid in respect of each Direct Investment and in respect of each Secondary Investment (i.e. on a deal-by-deal basis).
- The Performance Fee in respect of Direct Investments and Secondary Investments shall be determined in the currency of the respective transaction save that the Performance Fee in respect of Secondary Investments shall be determined using a rate of 10%, and the Performance Fee in respect of Direct Debt Investments, which are not Mezzanine Direct Investments, shall be determined using a rate of 10% and the Preferred Return rate shall be 4% per annum, compounded annually.
- No Performance Fee will be payable in respect of any investments of the Master Fund other than for Direct Investments and Secondary Investments.



**Contact Information**

**Fund Company**

<b>Company Name:</b>	<b>Spartan Fund Management Inc.</b>	<b>Sales &amp; Marketing Contact:</b>	<b>Brent Channell</b>
<b>Head Office Address:</b>	150 King Street West, Suite 200, Toronto, ON, M5H 1J9	<b>Phone:</b>	<b>416-601-3172</b>
<b>Website:</b>	<a href="https://spartanfunds.ca">https://spartanfunds.ca</a>	<b>Email:</b>	<a href="mailto:bchannell@spartanfunds.ca">bchannell@spartanfunds.ca</a>
<b>Email:</b>	<a href="mailto:admin@spartanfunds.ca">admin@spartanfunds.ca</a>		

**Back Office Administrator**

<b>Company Name:</b>	<b>SGGG Fund Services Inc.</b>	<b>Phone (Account Inquiry):</b>	<b>416-967-0038 x1</b> or 1-888-967-0038
<b>Head Office Address:</b>	121 King Street West, Suite 300, Toronto, ON, M5H 3T9	<b>General Processing Fax:</b>	<b>416-967-1969</b>
<b>Website:</b>	<a href="http://www.sgggFSI.com">www.sgggFSI.com</a>	<b>Dealer Services:</b>	<a href="mailto:AdminServices@sgggFSI.com">AdminServices@sgggFSI.com</a>